

# Proactive premature-intention estimation for intuitive human robot collaboration

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**Abstract**— For effective collaboration between two humans, they are required to adapt to each other according to their apparent behavior in the real time. Similarly for intuitive human-robot collaboration the robot is required to adapt to the human intention, apparent from his behavior. In this paper, we introduce a probability based approach that helps the robot to adapt to the human behavior and to react proactively in the intermixed human intentions scenarios. The robot can either wait for disambiguation of the intention, requiring extra human actions or he can proactively react depending on his previous knowledge about the human behavior. The adaptive proactivity is achieved with the help of local transition weights for transitions between the neighbouring states in a finite state machine. These finite state machines model the human intentions. We also introduce the update of the intention recognition triggers for the intentions. The online intention-trigger update corresponds to the online selection of the specific state of a finite state machine as the end state of the finite state machine. The online update of the intention-triggers makes the robot more proactive in the direction of premature intention estimation. Thus the local transition weights and premature intention estimation makes the human robot collaboration more intuitive.

**Index Terms**— Premature-intention, Local transition weight, Trigger state.

## I. INTRODUCTION

Proactivity is an important aspect for effective cooperation. The humans working on a common task are required to intuitively collaborate with each other. They are required to be proactive towards each other for intuitive collaboration. It is equally important in the human-robot interaction that the robot should be proactive according to the collaborating human, depending on the current situation. For being proactive, the robot needs to recognize the intention of cooperating human as early as possible. The robot is also required to adapt to the human intentions to be proactive.

In literature there exist different approaches concerning the proactive behavior of the robot. In [7] proactive planning for a mobile robot is proposed. The proactive planning concerns the planning of future actions based on the action that is currently being performed. The proactive planning of actions can only be performed in the proposed approach if the result of currently performed action is predictable. The approach proposed in [1] corresponds to agent planning in a dynamic environment. The agent is created to act in the virtual world designed for a computer game. They described a layered

approach for agent actions in the dynamic environment. A prioritization approach is presented in [5]. The approach proposes a hierarchical agent model. The hierarchy consists of four layers, i.e., goal selector, planner, scheduler, and executor. The change in the priorities of the goals is performed to increase the satisfaction level. The goal prioritization and reprioritization relates to learning of the priorities of goal. An approach in [8] described how the robot can plan proactively to make its navigation safe. The approach presents a computing method for maximum velocity of the robot over a planned trajectory. The proactivity corresponds to speed adaption with respect to any number of mobile objects with known maximum possible velocity. The simulating robot described in [6] is an interactive interface. The idea corresponds to the two humans communicating over a telephone line. Instead of using the telephone, the robots are used to communicate the information between the two persons. The approach in [4] proposed the proactive behavior of the robot by activity monitoring and the constraints. The described behavior warns of an operation, forgotten by the humans. A behavioral generation mechanism is proposed in [10]. The proactive behavior is produced by two layers which are behavior planning and behavior generation. The behavior corresponds to different poses and movements. The term proactive is very much primitive as compared to the proactiveness of a human. The discussed approaches do not directly correspond to strict human-robot interaction. The proactive action selection proposed in [9] describes the proactive robot response concerning human-robot interaction. The robot selects actions concerning the known human intention. The proactive action selection is performed given the estimate of the human intention. However, there are vital differences between [9] and presented approach. The approach presented in [9] assumes that the intention estimates are given, uses a number of intentions for proactive reaction, focuses on the actions rather on the proactive action selection and considers all the intentions without considering their relevance with respect to the current situation. The approach in [9] also does not provide a confusion resolution if the intention estimates consists of conflicting intentions.

The presented approach recognizes the human intention as early as possible for being proactive and resolves the conflicting intentions by adapting proactively to the most likely premature intention.

The rest of the paper is organized as follows. The Section II describes briefly the intention recognition using probabilistic

state machine [3]. The Section III describes the online trigger (end state) determination of a finite state machine. The local transition weights are discussed in Section IV. The Section V describes the experiments performed and the discussion of the results. We conclude in the Section VI with the comments on the presented approach.

## II. INTENTION RECOGNITION USING STATE MACHINES

Each unique human intention is represented by a distinct Finite State Machine (FSM). A FSM models the action sequence corresponding to a unique human intention. Each FSM carries a probabilistic weight. The weight represents how closely the intention represented by the FSM relates to the currently estimated human intention. If the weight is high then the FSM closely relates to the currently estimated human intention and vice versa. A general FSM is shown below in the Fig. 1. Each action  $a_{ji}$  where  $j = 1, \dots, m$  and  $i = 1, \dots, n$  has a probability value  $P(a_j | S_i)$  at a state  $S_i$ . The probability value  $P(a_j | S_i)$  describes how likely an action  $a_j$  is for the state  $S_i$  of a FSM. The action  $a_{ki}$  represents an action that has highest probability  $P(a_k | S_i)$  for the state  $S_i$  and the state transition only occurs if  $a_{ki}$  occurs as shown in Fig. 1.

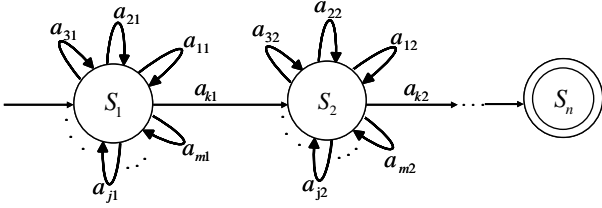


Fig 1. Flow of intention recognition algorithm

The general description of a FSM is given below in the Fig. 2. Each  $FSM = \langle Q, \Sigma, q_0, F, \delta \rangle$  is a tuple that contains a set  $Q = \{S_1, S_2, S_3, \dots, S_n\}$  that represent the number of states in a FSM. The set  $\Sigma = \{a_1, a_2, a_3, \dots, a_m\}$  represents the possible actions for a state  $S_i \in Q$ .

$$FSM = \langle Q, \Sigma, q_0, F, \delta \rangle$$

$$Q = \{S_1, S_2, S_3, \dots, S_n\}$$

$$\Sigma = \{a_1, a_2, a_3, \dots, a_m\}$$

$$\forall S_i \in Q \wedge \forall a_x \in \Sigma \text{ it holds that } \sum_{x=1}^m p(a_x | S_i) = 1$$

$$\forall S_i : \exists a_k \in \Sigma : \bigvee_{j=1, j \neq k}^m a_j \text{ it holds that } [p(a_k | S_i) > p(a_j | S_i)]$$

$$\delta : Q \times \Sigma \rightarrow Q$$

$$\delta(S_i, a_j) = S_i \text{ and } \delta(S_i, a_k) = S_{i+1} \quad i = 1, \dots, n$$

$$q_0 = S_1$$

$$F = \{S_n\}$$

Fig 2. General description of a Finite State Machine

The sum of probabilities of all the actions  $\sum_{x=1}^m P(a_x | S_i)$  for a state  $S_i \in Q$  adds up to 1, i.e.

$$\forall S_i \in Q \wedge \forall a_x \in \Sigma \text{ it holds that } \sum_{x=1}^m P(a_x | S_i) = 1$$

For each state  $S_i \in Q$  there exists an action  $a_k$  such that the probability of the action  $P(a_k | S_i)$  is greater than all the

other actions  $\bigvee_{j=1, j \neq k}^m P(a_j | S_i)$ , i.e.

$$\forall S_i : \exists a_k \in \Sigma : \bigvee_{j=1, j \neq k}^m a_j \text{ it holds that } [P(a_k | S_i) > P(a_j | S_i)]$$

If the action  $a_j$  occurs at a state  $S_i \in Q$  then the transition occurs to the same state, i.e.,  $\delta(S_i, a_j) = S_i$ . If the action  $a_k$  occurs at a state  $S_i \in Q$  then the transition occurs to the next state, i.e.,  $\delta(S_i, a_k) = S_{i+1}$ . The start state and the final state of a FSM are represented by  $q_0 = S_1$  and  $F = \{S_n\}$  respectively.

The general flow of the algorithm for probabilistic intention recognition using state machines is shown below in the Fig. 3. The flow diagram shows that an intention is recognized if the concerned FSM reaches its final state and it has the highest weight as compared to other FSMs.

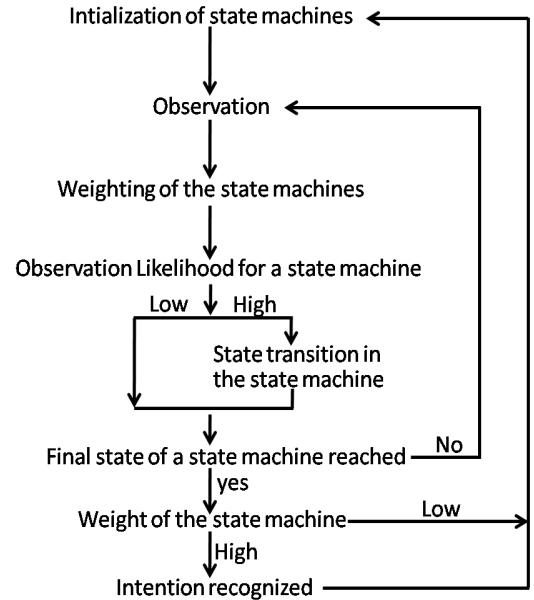


Fig 3. Flow of intention recognition algorithm

## III. TRIGGER STATE DETERMINATION

Proactive and in time reactions are important for intuitive human-robot interaction. The procedure given in Fig. 4 describes the method for making the reaction as proactive as possible by selecting the earliest possible trigger states of the

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TS : Trigger State
idx : IndexOf
1- CREATE  $FSM_{n+1}$ 
2-  $FSM_{n+1}.startState.TS = true$ 
3- FOR  $FSM_i$   $i=1, \dots, n$ 
4- FOR all the  $j$  states of  $FSM_{n+1}$ 
5- IF ( $j < FSM_i.size()$ )
6- IF ( $Match(FSM_{n+1}.state.at(j), FSM_i.state.at(j))$ )
7- incr  $j$ 
8- ELSE
9-  $FSM_{n+1}.state.at(j).TS = true$ 
10- ENDIF
11- IF ( $j > idx(FSM_i.TS)$ )
12-  $FSM_i.state.at(idx(FSM_i.TS)).TS = false$ 
13-  $FSM_i.state.at(j).TS = true$ 
14- break //exit loop at step 4
15- ENDIF
16- ELSE
17-  $FSM_i.state.at(FSM_i.size()-1).TS = true$ 
18-  $FSM_{n+1}.state.at(FSM_i.size()-1).TS = true$ 
19- break //exit loop at step 4
20- ENDIF
21- ENDFOR //Go back to step 4
22- IF ( $j == FSM_{n+1}.size() \parallel j == FSM_i.size()$ )
23- IF ( $j == FSM_{n+1}.size() \&\& j == FSM_i.size()$ )
24- delete  $FSM_{n+1}$ 
25- break //exit loop at step 3
26- ENDIF
27- FOR all the  $k$  Groups
28- IF ( $FSM_i \in G_k$ )
29- assign  $FSM_{n+1}$  to Group  $G_k$ 
30- update priors of  $G_k$ 
31- break //exit loop at step 3
32- ENDIF
33- ENDFOR //Go back to step 27.
34- IF ( $FSM_i \notin G_k$ )
35- assign  $FSM_i$  and  $FSM_{n+1}$  to  $G_{k+1}$ 
36- assign priors to the  $G_{k+1}$ 
37- break //exit loop at step 3
38- ENDIF
39- ENDIF
40- ENDFOR //Go back to step 3.

```

Fig 4. Trigger state determination algorithm

$FSM_i$ ,  $i=1, \dots, n$ . The *trigger state* is the end state which means the state that finishes the intention recognition process. The  $FSM_i$ ,  $i=1, \dots, n$  represent the human intentions. The input to the procedure in Fig. 4 consists of all the previous  $FSM_i$  and the newly constructed  $FSM_{n+1}$  that may be added to a group of Finite State Machines ( $FSM_s$ ). The trigger state of newly constructed  $FSM_{n+1}$  is updated with respect to all the previously existing  $FSM_i$ . The output of the procedure corresponds to the possible new groups of  $FSM_s$  and the update of the trigger state of the  $FSM_i$  with in the exiting groups and with out the groups.

In this procedure, the newly constructed  $FSM_{n+1}$  is added by comparing with all the previously existing  $FSM_i$ . Initially the start state of  $FSM_{n+1}$  is considered as the trigger state (Line 2). The comparison between  $FSM_{n+1}$  and  $FSM_i$  is performed by comparing the transition conditions of the states of both the  $FSM_s$ , i.e.,  $FSM_{n+1}$  and  $FSM_i$ , in a sequence (Lines 2-20). If during the comparison of  $FSM_{n+1}$  and  $FSM_i$  (Line 5) the state index  $j$  of  $FSM_{n+1}$  increases the size of  $FSM_i$  then update of trigger state is performed for  $FSM_{n+1}$  and  $FSM_i$  (Lines 17-18). If  $FSM_i$  already belongs to a group  $G_k$ , i.e.,  $FSM_i \in G_k$  then  $FSM_{n+1}$  is added to that group (Lines 28-31). The intention prior values of the  $FSM_s$  belonging to the group  $G_k$  are updated. The *intention priors* correspond to the prior probabilities of concerning  $FSM_s \in G_k$ . If  $FSM_i$  does not belong to a group then a new group is created (Lines 34-38). If a mismatch occurs (Line 6) then the trigger state assignment is performed for the  $FSM_{n+1}$  (Line 9). The trigger state of the  $FSM_i$  is also updated if necessary (Lines 11-15). If the state index  $j$  corresponding to the last successful comparison between  $FSM_i$  and  $FSM_{n+1}$  is greater than the current trigger state index of  $FSM_i$  (Line 11) then the update is performed for the trigger state for  $FSM_i$ . Otherwise no update of trigger state is performed for the  $FSM_i$ . The trigger state always moves toward the actual end state of  $FSM_i$  during the process of comparison. The lines 23-26 corresponds to the situation if  $FSM_{n+1}$  and  $FSM_i$  are same and are of same size then  $FSM_{n+1}$  is removed and procedure exits (Line 25). The lines 22, 27-38 corresponds to the situation if  $FSM_{n+1}$  and  $FSM_i$  are same and one is of bigger in size form the other. The procedure stops if initial part of  $FSM_i$  matches to complete  $FSM_{n+1}$  or vice versa and if there exists a group  $G_k$  such that  $FSM_i \in G_k$  (Line 28) then  $FSM_{n+1}$  is assigned to that group  $G_k$ . Otherwise a new group  $G_{k+1}$  is created and  $FSM_{n+1}$  and  $FSM_i$  are assigned to that group.

The matching of initial part of  $FSM_i$  to  $FSM_{n+1}$  means that the sequence from the start state of  $FSM_i$  to some intermediate state of  $FSM_i$  matches to  $FSM_{n+1}$  from the start state to the end state with respect to the state transition conditions. The matching can also occur in the reverse manner, i.e., initial part of  $FSM_{n+1}$  matches to a complete  $FSM_i$ .

A group  $G$  of  $FSM_s$  is only created if there is a  $FSM_i$  and  $FSM_{n+1}$  such that they exactly match with each other and one is bigger than the other and already no group exists (Lines 34-38). In case, if a group already exists then  $FSM_{n+1}$  is simply added to that group (Lines 28-32). If a group is constructed then a common trigger state is nominated for the group that is the actual end state of the smallest  $FSM$  in the group. If that trigger state is reached then the intention selection in the group

is performed depending on the intention priors of the state machines in the group,  $FSM_s \in G_k$ . Initially when the intention priors are uniform (Line 36) then the intention selection is performed randomly and the switch between the different intentions (represented by different  $FSM_s \in G_k$ ) in the group is performed by the human interruption.

After an intention is recognized the intention priors are updated accordingly, e.g., if we suppose there are three  $FSM_s$  in a group  $G_k$  that initially have the uniform priors of  $1/3$  then if the intention concerning  $SM_i$  out of three in the group is recognized then the priors will be updated as given in Fig. 5.

$$SM_1 = \frac{1}{3}, \quad SM_2 = \frac{1}{3}, \quad SM_3 = \frac{1}{3}$$

Updation:

$$SM_1 = \frac{1}{3} + \frac{1}{3} = \frac{2}{3}$$

Normalization:

$$\frac{2}{3} + \frac{1}{3} + \frac{1}{3} = \frac{4}{3} = 1.3333$$

$$SM_1 = \frac{4}{3} / 1.3333, \quad SM_2 = \frac{1}{3} / 1.3333, \quad SM_3 = \frac{1}{3} / 1.3333$$

$$SM_1 = \frac{1}{2}, \quad SM_2 = \frac{1}{4}, \quad SM_3 = \frac{1}{4}$$

Fig 5. Trigger state determination algorithm

The time complexity of this algorithm is given below where  $n$

$$O(n) = m \geq x \leq n. (m - 1)$$

is the total number of the existing state machines and  $m$  is the number of the states of the newly constructed state machine  $FSM_{n+1}$ .

The best case occurs if  $FSM_{n+1}$  already exist or it belongs to some already existing group. The normal case involves  $FSM_{n+1}$  that does not belong to any existing group. The worst case occurs if all the state machines  $FSM_i$  have the same initial part as the  $FSM_{n+1}$  till the second last state of  $FSM_{n+1}$ .

The Fig. 6 shows the recognition of the intention depending upon the priors of the  $FSM_s$  in case if common end state relating to a group is reached.

#### IV. ONLINE UPDATE OF LOCAL TRANSITION WEIGHT

The *local transition weights* correspond to the weights assigned to the transition conditions in a  $FSM_s$ . It is a step forward towards the granulation of intention weights. The local transition weights help the robot to take the premature intuitive decision for intention recognition.

The local transition weights are calculated for the transition conditions that are common among different  $FSM_s$ . Every unique transition condition is given the maximum weight, i.e., 1 that is not common among a group of  $FSM_s$ . Here the common transition conditions mean the conditions common with respect to the observation's specification and the state's place, i.e., the states are equally apart from the start state and the previous transition conditions, if exist, are the same. These  $FSM_s$  are grouped together based on the common conditions. The group  $FSM_s$  is not the same as described earlier in Fig. 4 (Lines 28-39). The characteristics of common and unique

transition conditions are explained through the Fig. 7. In the Fig. 7  $a_i, b_i$  and  $c_i$  represent the transition conditions.

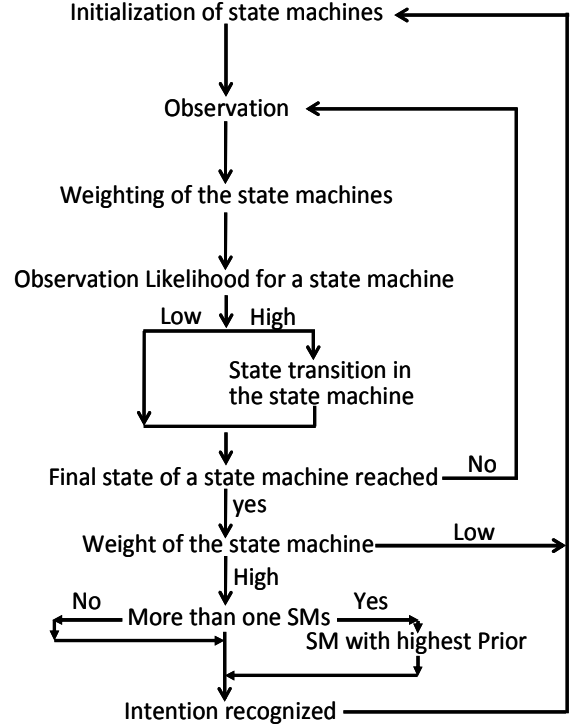


Fig 6. Updated Flow of Intention recognition algorithm

For example the observation of a specific change in distance between the objects may lead to a specific pattern (intention) in the human's mind.

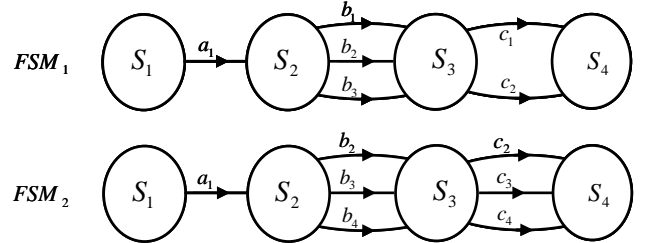


Fig 7.  $SM_1$  and  $SM_2$  representing the common transition conditions

The unique transition conditions  $a_1, a_2, b_1, b_4, c_1, c_3, c_4$  get the weight of 1 and the transition condition  $b_2, b_3$  get initially the uniformly distributed weights among the common transition condition, i.e., 0.5. The weights of  $b_2$  and  $b_3$  are updated with the recognition of the intention represented by the  $FSM_s$  relating to  $b_2$  and  $b_3$ .

At the construction time of the  $FSM$  different probabilities are assigned to a transition condition between the neighbouring states. The transition condition that is highly likely to occur at the state and leads to the next state gets the highest transition probability. This highest probability is used as a threshold for the state transition from the state to the next state.

In case if there exist more than one equally highly likely transition conditions then these transition conditions are

equally assigned high transition probability, i.e., if any of these observations occur then the state transition occurs.

There may be the case as shown for  $FSM_1$  and  $FSM_2$  in the Fig. 7 that some of the highly likely transition conditions are common among different  $FSM_s$ . These common transition conditions among the  $FSM_s$ , in a group, are initially assigned the uniform local transition weights. The update of the weights is performed by the addition of  $1/|FSM_s|$  to the weight of transition condition that belongs to the  $FSM$  representing the recognized intention and then doing the normalization as shown in Fig. 5. The  $|FSM|$  represent the number of  $FSM_s$  having the common transition condition in a group.

Since for a transition to occur between the states the observed transition condition should have the transition value greater or equal to the threshold value. The common transition condition that was earlier unique and had the maximum local transition weight and had the maximum observation probability could trigger the transition. However, as a common transition condition the local transition weight is reduced to  $1/|FSM_s|$ . Thus the assigned maximum transition probability of the common observation, multiplied by the local transition weight can not trigger the transition to the next state. It will take very long that the weight of the common transition is updated very near to one and the weight of the other related common transition observations near to zero. Then that common transition condition with updated weight near to 1, multiplied by the transition probability may cause the transition.

For the purpose of the faster increment in the update of transition condition's weight an adaption factor  $\theta$  is introduced. That is also multiplied by the local transition weight and transition probability to calculate the transition value. The adaption factor  $\theta$  may be changed in order to adjust the adaption rate. The adaption factor used for different no of  $FSM_s$  is given below

$$FSM = 2 \quad \theta = 1.3$$

$$FSM > 3 \quad \theta = \frac{|SM|}{2}, \frac{|SM|}{3}, \frac{|SM|}{4}, \dots$$

The local transition weights are further explained by an example using two  $FSM_s$ . These two  $FSM_s$  have one common transition condition as shown in the Fig. 8 given below

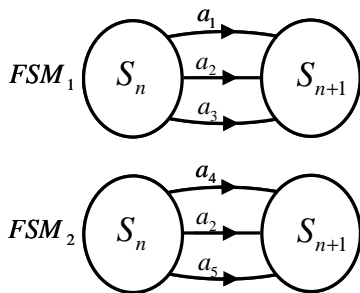


Fig 8.  $FSM_s$  with common transition condition

There exist three transition conditions  $a_1$ ,  $a_2$ , and  $a_3$  in  $FSM_1$ .  $FSM_2$  has transition conditions  $a_2$ ,  $a_4$ , and  $a_5$  for the states  $S_n$  to  $S_{n+1}$ . The transition condition  $a_2$  is common among the  $FSM_s$ . Therefore, initially the transition condition  $a_2$  in both the  $FSM_s$  get the uniform local intention weight, i.e., 0.5 and all the other transition condition get the highest weight, i.e., 1. Now

whenever the observation of  $a_1$ ,  $a_3$ ,  $a_4$ ,  $a_5$  occurs then a transition occurs from the state  $S_n$  to  $S_{n+1}$ .

As the observations that are highly likely for a state are given the high observation probability for that state. Therefore the transition condition  $a_1$ ,  $a_2$ ,  $a_3$ ,  $a_4$ ,  $a_5$  have the highest transition probability. The adaption factor for all the unique transition conditions is 1. Therefore calculating the transition value for the transition condition  $a_1$ ,  $a_3$ ,  $a_4$ ,  $a_5$  will give the value equal to the transition threshold for the concerned states. The transition threshold is equal to the highest transition probability between the consecutive states. The transition due to a transition condition only occurs if the calculated transition value for that transition condition is greater or equal to the transition threshold for the state. In case of transition condition  $a_2$ , no state transition will occur in both the state machines  $FSM_s$ .

Although the transition probability initially assigned to  $a_2$  in both the  $FSM_s$  is equal to the threshold value. But the local transition weight is 0.5 and the adaption factor is 1.3. Thus the calculated transition value will be less than the transition threshold value as shown below

$$\begin{aligned} &= 0.5 \times \text{transition\_value} \times 1.3 \\ &= 0.65 \times \text{transition\_value} \\ &< \text{threshold\_value} \end{aligned}$$

If the human performs an action and the observation relates to one of the unique transition condition of a  $FSM$  then the local transition weights of all the common transition conditions are increased for concerned  $FSM$  and for the other  $FSM_s$  are decreased.

$$s_1 = SM_{1:a2} \quad s_2 = SM_{2:a2} \quad \theta = \text{adaption factor} = 1.3$$

$$\text{Transition\_value} = \pi$$

Step 1:

Updation

$$s_1 = 0.5 + 0.5, \quad s_2 = 0.5$$

$$T = \sum_{i=1}^2 s_i = 1 + 0.5 = 1.5$$

Normalization

$$s_1 = \frac{s_1}{T} = 0.66667$$

$$s_2 = \frac{s_2}{T} = 0.33333$$

$$\theta \times \arg \max(s_1, s_2) = 1.3 \times 0.66667 = 0.86667 \times \pi < \pi$$

Step 2:

Updation

$$s_1 = 0.66667 + 0.5, \quad s_2 = 0.33333$$

$$T = \sum_{i=1}^2 s_i = 1.667 + 0.33333 = 1.5$$

Normalization

$$s_1 = \frac{s_1}{T} = 0.77780$$

$$s_2 = \frac{s_2}{T} = 0.22222$$

$$\theta \times \arg \max(s_1, s_2) = 1.3 \times 0.77780 = 1.0111 \times \pi \geq \pi$$

Fig 9. Update and Normalization of local transition weights for common transition conditions using the adaption factor  $\theta$

$m_i$  Local transition weight  $i = 1, 2, 3, \dots, n$ .  
 $n$  Number of  $FSM_s$  with common condition

$$m_i = \frac{1}{n} \text{ Initially}$$

Step 1:

Weight updation

$$m_1 = \frac{1}{n} + \frac{1}{n} = \frac{2}{n}$$

Normalization

$$\sum_{i=1}^n m_i = \frac{2}{n} + (n-1) \times \frac{1}{n} = \frac{n+1}{n}$$

$$m_1 = \frac{2}{n+1}, m_2 = \frac{1}{n+1}, m_3 = \frac{1}{n+1}, \dots, m_n = \frac{1}{n+1}$$

Step 2:

Weight updation

$$m_1 = \frac{2}{n+1} + \frac{1}{n} = \frac{3n+1}{n(n+1)}$$

Normalization

$$\sum_{i=1}^n m_i = \frac{3n+1}{n(n+1)} + (n-1) \times \frac{1}{n+1} = \frac{n+1}{n}$$

$$m_1 = \frac{3n+1}{(n+1)^2}, m_2 = \frac{n}{(n+1)^2}, \dots, m_n = \frac{n}{(n+1)^2}$$

Step 3:

Weight updation

$$m_1 = \frac{3n+1}{(n+1)^2} + \frac{1}{n} = \frac{4n^2+3n+1}{n(n+1)^2}$$

Normalization

$$\sum_{i=1}^n m_i = \frac{4n^2+3n+1}{n(n+1)^2} + (n-1) \times \frac{n}{(n+1)^2}$$

$$= \frac{n^3+3n^2+3n+1}{n(n+1)^2}$$

$$m_1 = \frac{4n^2+3n+1}{n^3+3n^2+3n+1}$$

$$m_2 = \frac{n^2}{n^3+3n^2+3n+1}, \dots, m_n = \frac{n^2}{n^3+3n^2+3n+1}$$

Step 4:

$$m_1 = \frac{5n^3+6n^2+4n+1}{n^4+4n^3+6n^2+4n+1}$$

$$m_2 \dots m_n = \frac{n^3}{n^4+4n^3+6n^2+4n+1}$$

Step 5:

$$m_1 = \frac{6n^4+10n^3+10n^2+5n+1}{n^5+5n^4+10n^3+10n^2+5n+1}$$

$$m_2 \dots m_n = \frac{n^4}{n^5+5n^4+10n^3+10n^2+5n+1}$$

Step 6:

$$m_1 = \frac{7n^5+15n^4+20n^3+15n^2+6n+1}{n^6+6n^5+15n^4+20n^3+15n^2+6n+1}$$

$$m_2, \dots, m_n = \frac{n^5}{n^6+6n^5+15n^4+20n^3+15n^2+6n+1}$$

⋮

Figure 10: Calculated Local Transition weights

Now if a unique observation relating  $FSM_i$  at state  $S_n$  occurs and intention regarding  $FSM_i$  is recognized then the local transition weight of  $a_2$  in  $FSM_i$  is increased and local intention weight of  $a_2$  in  $SM_2$  is decreased. The update is performed by the addition of  $1/|FSM_s|$ , i.e., the average value of the numbers of  $FSM_s$  having the common transition condition.

In the above described example there are two  $FSM_s$  having one common transition condition. The maximum local transition weight is multiplied with the adaption factor to calculate the transition value as shown in Fig. 9.

As the intention related  $FSM_i$  is recognized thus the local intention weight of  $a_2$  is increased by 0.5. The adaption factor  $\theta$  increases the local transition weight to the extent that a common transition condition in a specific  $SM$  is triggered as shown in the calculation, given in Fig. 9.

For that intention to be recognized the human produces the unique transition condition relating to the concerned  $SM$ . The common transition condition causes the transition between the states for a specific  $FSM$  that represent the recognized human intention.

If  $\theta$  is selected as  $|FSM|/2$  then the adaption rate for a common transition condition  $a_{ij}$  (at  $i^{\text{th}}$  state of  $j^{\text{th}}$  finite state machine) of  $FSM_j$  becomes 2 for  $|FSM| > 3$ . The adaption rate of 2 means that if an intention represented by  $FSM_j$  is recognized 2 times consecutively with respect to other  $FSM_s$  in a group having the common transition conditions. Then the local transition weight of  $a_{ij}$  of  $FSM_j$  is increased and the weights of other related common transition conditions in the group  $FSM_s$  are decreased. The two times consecutive increments of local transition weight of  $a_{ij}$  and the scaling performed with  $|FSM_s|/2$  causes the state transition due to  $a_{ij}$  for  $|FSM_s| > 3$ . If  $|FSM_s| \leq 3$  then three times consecutive increments in the local transition weight of  $a_{ij}$  is required to trigger the  $a_{ij}$  state transition. Similarly, if  $\theta = |FSM_s|/3$  then the specific increment in the local transition weight requires 3 steps for  $|FSM_s| > 7$ . In case if  $5 \leq |FSM_s| \leq 7$  then 4 steps are required. The Table-1 given below describes the number of steps required with respect to the  $|FSM_s|$  and  $\theta$ .

$\theta$	No of Steps	SM
$ FSM_s /2$	2	$> 3$
$ FSM_s /2$	3	$= 3$
$ FSM_s /3$	3	$> 7$
$ FSM_s /3$	4	$\geq 5$
$ FSM_s /3$	5	$\geq 3$
$ FSM_s /4$	4	$> 11$
$ FSM_s /4$	5	$\geq 8$
$ FSM_s /4$	6	$\geq 6$
⋮	⋮	⋮
⋮	⋮	⋮

Table-1: Description of  $\theta$  with respect to  $|FSM|$  and no of steps

The local transition weights are calculated in terms of  $1/|FSM_s|$  as shown in the Fig. 10. The calculation is so performed that the local transition weight  $m_i$  is increased at each step by  $1/n = 1/|FSM_s|$ . At each step  $m_i$  is updated (increased by  $1/n$ ) and then normalized. The six step update and normalization is performed for  $m_n$  local transition weights in Fig. 10. Thus  $m_i$  increases and  $m_2 \dots m_n$  decrease. Therefore it

can be easily checked by multiplying the  $\theta$  with  $m_i$  at different steps that how many consecutive steps (weight increments) are required for increment of  $m_i$  such that  $m_i$  can cause state transition, e.g., if we take  $\theta = |SM|/2$  and  $|SM| = 3$   $m_i$  can cause state transition, results are shown in the Fig. 11.

It is also mentioned above in the Table-1 row 2 that at step 3 the local transition weight (updated and normalized) multiplied by  $\theta$  causes the state transition. That value multiplied with the transition probability ( $a_j$ ) will not decrease the calculated transition threshold value and will cause the state transition.

$$n = |FSM_s| = 3$$

$$\text{Step 1: } m_1 = \frac{2}{n+1} \times \frac{n}{2} = \frac{2}{4} \times 1.5 = 0.75 < 1$$

$$\text{Step 2: } m_1 = \frac{3n+1}{(n+1)^2} \times \frac{n}{2} = \frac{3 \times 3 + 1}{(3+1)^2} \times 1.5 = 0.93750 < 1$$

$$\text{Step 3: } m_1 = \frac{4n^2 + 3n + 1}{n^3 + 3n^2 + 3n + 1} \times \frac{n}{2} = \frac{36 + 9 + 1}{64} \times 1.5 = 1.0781 > 1$$

Fig 11. Consecutive increment of a local transition weight

## V. EXPERIMENTS

The experiments have been performed with a robotic arm of 6 degrees of freedom. The human and the robot interact in a human-robot interaction workspace shown in Fig. 12. The work space consists of a table with objects and buttons on the table along with the robotic arm. The video data is captured with an over head FireWire digital camera with the frame size of 640 x 480. The camera provides video data at the speed of 30 frames / sec. Human-robot interaction and image analysis is implemented using C++. The robot reactions are realized using the V++ for the robotic arm. The robot is communicated the cooperative instructions using the TCP/IP connection for assigning different operation, e.g., pick, place and move to a certain location, etc. Common Skin detection, Edge detection algorithms and Fourier descriptors are used for the image analysis.



Figure 12: Human-robot interaction workspace

The buttons on the table include Stop (S), Learn (L), Pause (PA), Play (PL), and Reset (R) as shown in Figs 12, 13, 14, and 15. These buttons are used by the human to interface with the robot while human-robot interaction. If the human wants to teach the robot about his intention then the human puts the hand on the L button. Afterwards the human perform the

intended task. The S button is used by the human if the human wants to stop the robot from performing a task and undo the current robot action. The robot temporarily stops its activity if the PA button is used. If the PL button is used then the robot starts recognizing the known intentions and after recognizing an intention the robot reacts accordingly. The R button is used to remove all the known intentions that are stored as  $FSM_s$ .

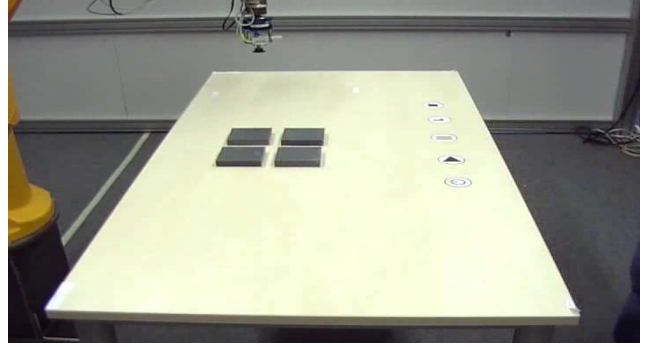


Fig 13. Intention for placing the boxes in a square pattern

The perception of human intention is performed based on the Case 3 discussed in [2], i.e., the human actions and intention is recognized from the scene changes occurred due to the human action. For performing the experiment regarding the arrangements of objects on the table, different human intentions are taught to the robot [2]. The two taught human intentions are shown in the Fig. 13 and 14.

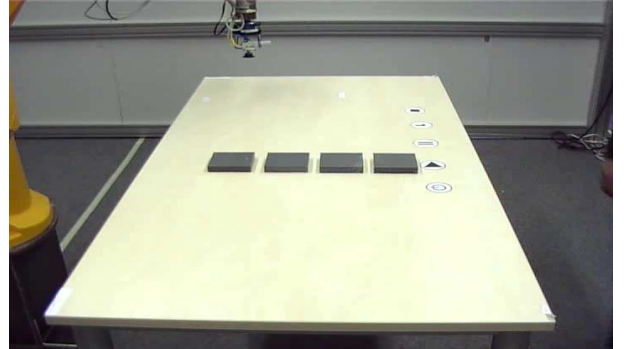


Fig 14. Intention for placing the boxes in longitudinal pattern

The Fig. 15 shows the similarity of the situation (ellipse) for which the robot needs to decide for premature action selection. First the intentions relating to Fig. 13 and 14 are taught to the robot.

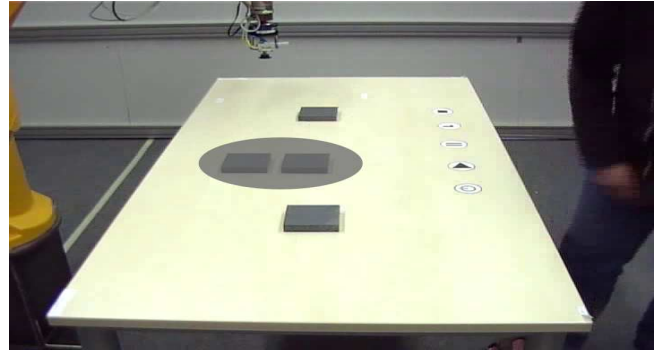


Figure 15: Human robot interaction workspace

Then the robot is presented the situation shown in Fig. 15. The robot can not decide how to react in the situation shown in Fig. 15. The robot waits for the human to disambiguate the situation. Now, if the human performs the action regarding to one of the intentions as shown in Fig. 13 and 14 then the local transition weight of the common transition condition in concerned  $FSM$  is increased and for the other  $FSM$  is decreased. Initially, the ambiguous case as shown in Fig. 15, if a task is disambiguated consecutively two times and third time the robot is faced with the ambiguous situation then the robot reacts accordingly, i.e., the robot performs the most likely human intended task in that situation.

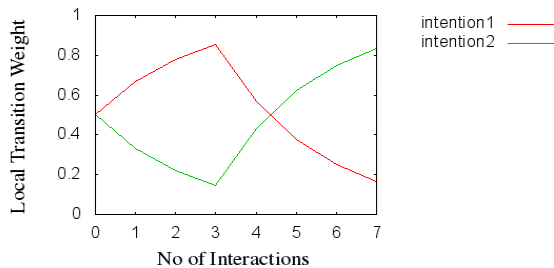


Fig 16. Local transition weights with out adaption factor

The graph in Fig. 16 corresponds to the local transition weights in two  $FSM_s$  with one common transition condition as shown in Fig. 13 and 14. Initially, at Step 0 the local transition weights are uniform, i.e., zero for both the common transition conditions. The local transition weight represented by red line represent the transition condition whose concerning intention is selected consecutively three times. Thus the red line rises and green line falls. At the Step 2, the local transition weight (red line) is less than 1 as shown in Fig. 16.

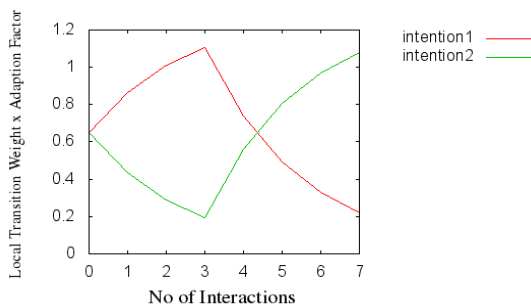


Fig 17. Local transition weights with adaption factor

The local transition weight scaled with adaption factor 1.3 reaches the value 1 at Step 2, as shown in Fig. 17 and causes the state transition. From Step 3-7 the local transition weight of transition condition (green line) is increased due to the consecutive selection of the concerning intention as shown in Fig. 16 and 17. At Step 7, the local transition weight (green line) in combination with adaption factor can cause transition. Similarly in the case of trigger state determination and update the premature intention recognition is performed with the help of priors. The robot reacts according to the intention of highest prior  $FSM$  in the group. If the human intends an  $FSM$  with lower prior then the robot switches to the next intention ( $FSM$ ) with the next highest prior. The priors of  $FSM_s$  are updated

such that the prior of intention ( $FSM$ ) that is successfully applied is increased and the priors of the others are decreased. The priors of two  $FSM_s$  in a group are shown in the Fig. 18. The graph in Fig. 18 represents that for first 11 interactions an intention is selected consecutively and for the rest of 9 interactions the other intention is selected consecutively.

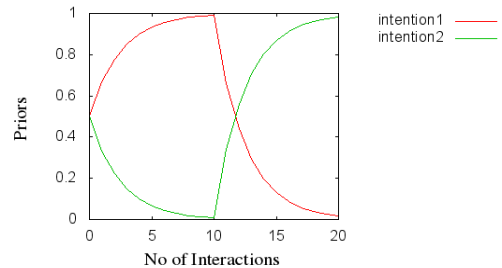


Fig 18. Priors alternating due to the intention switch after 10<sup>th</sup> interaction.

## VI. CONCLUSIONS

In this paper we presented a probabilistic proactive approach for the intuitive human-robot interaction in the ambiguous situation. Two cases were discussed for proactive robot response for intuitive human-robot interaction. For making the robot interactions as proactive as possible, trigger state selection algorithm is discussed that describes how the trigger states are selected in case of similar state sequence of different  $FSM_s$ . In the second case the proactive nature of human-robot interaction is discussed at lower level, i.e., the ambiguous (leading to two or more different human intentions) human action performed by the interacting human is probabilistically handled for proactive human-robot interaction.

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